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on January 8, 2002

LAW OFFICES OF JONATHAN ALAN QUINE

By [Signature]  
Chianti Applng

Attorney Docket No. 407T-980510US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Andrew Shiau et al.

Application No.: 09/830,693

Filed: March 30, 1999

For: **METHODS AND COMPOUNDS FOR  
MODULATING NUCLEAR RECEPTOR  
ACTIVITY**

Examiner: Unassigned

Art Unit:

TRANSMITTAL LETTER - RESPONSE  
TO NOTICE OF MISSING PARTS

Attn: Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated *August 16, 2001*, enclosed are the following to be made of record in the above-identified application:

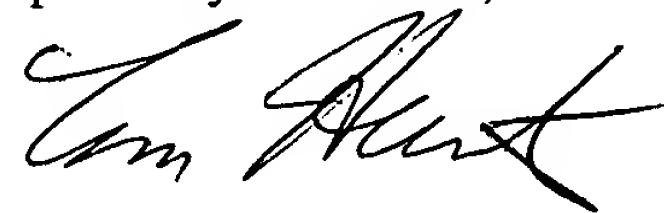
- 1) Executed Declaration
- 2) Paper and Computer Readable Copies of Sequence Listing
- 3) Sequence Listing Statement
- 4) Copy of Notice of Missing Requirements Under 35 USC 371
- 4) *Petition for Extension of Time for 3 month Under 37 CFR 1.136(a)*
- 5) Fee transmittal sheet
- 6) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity: (a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	___ - 20 = ___ x 18 =	\$ _____
	___ - 3 = ___ x 84 =	\$ _____
(c)	Missing Parts Surcharge	\$ 65.00
<b>TOTAL FEES TO BE CHARGED</b>		<b><u>\$ 65.00</u></b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893. *This Transmittal Letter is submitted in duplicate.(if not submitting fee transmittal)*

Respectfully submitted,



Tom Hunter  
Reg. No. 38,498

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02/05/2002 MWGUYEN 00000092 500893 09830693

01 FC:254 65.00 CH

# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$)

### Complete if Known

Application Number	09/830,693
Filing Date	March 30, 1999
First Named Inventor	Andrew Shiau
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	407T-980510US

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 50-0893  
 Deposit Account Name: Law Offices of Jonathan Alan Quine

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1440	218 720	Extension for reply within fourth month	720.00
128 1960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1280	241 640	Petition to revive - unintentional	
142 1280	242 640	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 785.00

### SUBMITTED BY

Typed or Printed Name: Tom Hunter

Signature: 

Date: 01/08/02

### Complete (if applicable)

Reg. Number: 38,498

Deposit Account User ID

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: January 8, 2002

Typed or Printed Name: Chianti Appling

Signature: 

Date: 01/08/02